# SILICON SOLAR MODULE VISUAL INSPECTION GUIDE

Catalogue of Defects to be used as a Screening Tool Version 1.8, 2016-12-01 K. Sinclair, M. Sinclair



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### **ABOUT THIS DOCUMENT**

This document is designed to be used as a guide to visually inspect front-contact poly-crystalline and monocrystalline silicon solar photovoltaic (PV) modules for major defects (less common types of PV modules such as back-contact silicon cells or thin film technologies are not covered here). The modules under consideration may be of any size or rated power, however some specific use-cases for solar modules may have different requirements and therefore adaption of this document is application and institution dependent (ex. labelling may not be present for a solar module sold as part of a small off-grid lighting kit). This document is meant to supplement and support rather than replace international testing standards (for example IEC 61215 or UL 1703 [1], [2]). A lack of visually observable defects is necessary but not sufficient to determine if a module would pass IEC 61215 testing.

#### Motivation

This document was developed as a response to observations of sub-standard quality and counterfeit solar products present in developing world markets. Many consumers and retailers are not aware of the presence of significant visually observable defects that may limit performance and/or lead to premature product failure. Nor are they aware that good quality PV modules should last 25 years or more. Note that no amount of visual inspection or electrical product testing can guarantee that a module will perform reliably for 25 years.

Although visual inspection cannot catch all possible defects, it can be used as a screening method to identify poor performing products and potential early failure modes. This document was designed with the intention of being a quick tool that is inexpensive to implement, as it does not require any test equipment. Although helpful, no prior knowledge of solar photovoltaics is required to benefit from this guide, and an inspector should be able to be trained in its use in two days or less.

#### Applications

Several applications could be envisioned for this document, including use by:

- border agents to inspect product shipments at ports of entry to a country. Standardized rejection criteria could be used as grounds for barring defective products for import in conjunction with an adopted IEC standard such as IEC 61215.
- standards agencies or regulatory authorities in search and seizure efforts. A tool that can be used onsite to determine if defective or fraudulent products are found for sale in markets.
- Retailers/distributors to ensure they are receiving acceptable quality products from manufacturers.
- installers/technicians when selecting product from retailers or distributors for customers.
- educators as a teaching tool for students of solar energy, for example when training technicians.
- inspectors of already installed solar products to catalogue defects and attempt to trouble-shoot failures. However, as this guide deals primarily with new modules, alternative tools are recommended for this task (please see for example [3]).

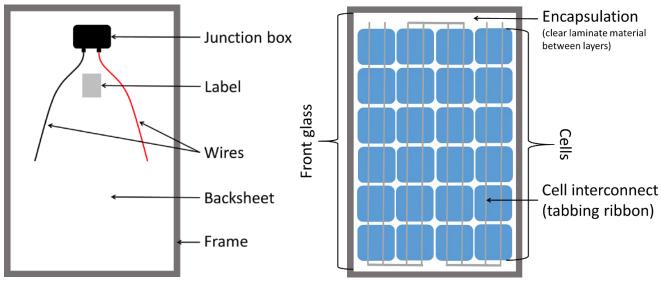
#### Structure

This document is organized into a Terminology section and a Checklist, followed by a table cataloguing and describing the defects to be visually inspected. The schematics in the Terminology section describe where each component is found on a common solar PV module. A Severity Rating is also defined to give users guidelines on how concerning a particular defect may be. In the Checklist and the Catalogue of Defects, defects have been organized by the component of the module on which they appear, followed by severity rating. The order in which components are inspected goes from the back to the front of the module, following a procedure developed elsewhere [3]. The Catalogue of Defects is subdivided into two sections: the first referring to defects that might be found on new modules, and the second describing defects that might appear over time. This document is principally focused on defects that are observable at the beginning of product life. Selected significant defects that may appear over time are also included for completeness and to address the second-hand market.



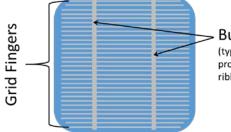
### **CLARIFICATION OF TERMINOLOGY**

#### Rear side of silicon module



Front side of silicon module

#### Individual silicon solar cell



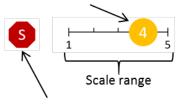
Busbars (typically not visible when properly covered by tabbing ribbon)

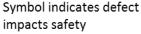
## **CLARIFICATION OF SEVERITY RATING**

Efforts were made by the authors to provide a comparative rating of the severity of the defects. The range of the scale indicates influence to performance and/or reliability, and given is from 1 (low severity) to 5 (high severity). A range is provided when the severity of a defect can vary, for example with the size of the affected area. An additional icon is given if the defect poses a potential safety risk to the installer or the end user. The authors assume no liability for actions taken as a result of this document.

- S: Symbol indicating a safety risk, separate from quantitative scale
- 1. The defect is an indicator of poor quality with no direct effect on performance or reliability
- 2. The defect has a minor impact on performance and/or reliability
- 3. The defect has a moderate impact on performance and/or reliability
- 4. The defect has a high impact on performance and/or reliability
- 5. The defect is indicative of a major quality issue, a critical failure, or a counterfeit panel

Colour and position indicates severity rating







### RECOMMENDATIONS

The following section provides recommended guidelines for the use of this document. This includes recommendations on an inspection procedure and accept/reject criteria.

Institutions may choose to adapt the checklist into a format unique to the needs of the given application. A cover page could be used to accomplish this. For example, different institutions/application might require specific administrative details to be recorded beyond the fields of Module ID, Inspector and Date that are currently included (ex. location, reason for inspection, shipment, company, actions taken, comments, etc. )

#### Inspection Procedure:

The following procedure should be followed for each product lot to be inspected (ex. shipment, retail location, installation, etc.).

- 1. Identify and differentiate the different product types/sizes to be inspected within the lot.
- 2. Select a minimum of 8 samples of each size/type randomly for inspection (see IEC 61215 for sampling recommendations). Care should be taken to select samples from different locations (boxes, containers, etc.) within a lot (for example do not simply select the first 8 samples that are seen). Depending on the application this may not be sufficient: for example if inspecting existing modules at a solar installation, it would likely be desirable to inspect 100% of samples.
- 3. The inspector should complete one checklist per sample, proceeding through the list of defects in the order in which they are presented in order to ensure completeness.
  - a. For each defect in sequence complete the checklist with an indication of defect presence, severity and whether or not the defect represents a potential safety risk.
  - b. Depending on the requirements and the resources of the institution, it may be of interest to take photos of defects for inclusion in an inspection report, along with overview photos of the front, back, and label of a module.
  - c. If further information or clarification is needed, refer to the detailed Catalogue of Defects which includes a description of the affected component, defect photos, a description of the defect, why it's important and guidelines on assessing defect severity.
  - d. For used samples, both "new" and "used" checklists should be completed in this order. Inspectors should be sufficiently familiar with defects unique to used modules such they can be identified during the inspection of ostensibly new products.
- 4. Once the inspection checklist is complete the inspector can review the results to determine whether the inspected module is acceptable for the intended application. The accept/reject criteria for a single module and an entire lot may be based on the recommendations below, or as per a standardized procedure determined by a given institution.

#### Accept/Reject Criteria:

Acceptance and rejection criteria may be application and end user dependent. For example; small modules for off-grid applications may have slightly different quality requirements than full sized modules for utility scale applications. The market for small off-grid module may tolerate minor defects whereas the utility-scale market may not allow any visual defects which might pose even a small risk to the reliability and therefore the long term economic viability of the project.

Users of this document should make final accept/reject decisions based on a consistent, standardized and documented process which is justified by the needs of the market being served. The following section provides a recommended set of guidelines for deciding on the acceptability of modules under visual inspection.

A solar PV module sample will be considered to be rejected due to its observable quality defects if any one of the following conditions are met:



- 1. If any single observed defect has been evaluated as a Severity of 5. A Severity of 5 indicates a major quality issue; a critical failure or a fraudulent module. This evaluation alone is sufficient justification for the rejection of a sample.
- 2. If any single observed defect has been evaluated to pose a Safety Risk. Under no conditions should a module that risks the safety of an installer or end user be considered acceptable.
- 3. If any combination of observed defects that have a summed Severity score greater than or equal to 5 (acceptable summed value could be raised or lowered at the discretion of a given institution). This condition allows for the possibility to accept modules with minor defects that do not critically affect performance or reliability. This is done with the intent of not putting prohibitively stringent demands on developing markets that can tolerate minor deficiencies.
- 4. If any module that is expected to be new shows any of the used module defects. The defects listed under the used module checklist should be exclusively visible on used modules. At the discretion of the institution, the inspector might be directed to also always complete the used module checklist in order to rule out these defects, or alternatively simply complete the last row of the new module checklist to indicate the module does not appear to be used previously.

If one or more samples are rejected for any of the above conditions then further action is required. Dependent on the application to which this process is applied and the goals of the inspection, several options are possible at the discretion of the responsible institution:

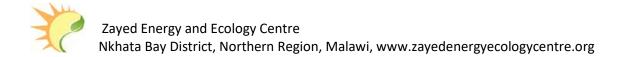
- 1. Reject the entire lot under inspection.
- 2. If only one of initial 8 samples is defective, reselect at minimum 8 random samples from the lot and repeat the above inspection procedure. If rejects are again found then reject the entire lot.
- 3. Require 100% inspection on all samples within the lot and reject all non-conforming samples.
- 4. Instigate a more in-depth secondary inspection to further investigate the quality of the lot under question, likely including electrical testing. The procedure for this testing is beyond the scope of this document.



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| CHECKLIST: New Module |          | Defect Present?                           |    |     |                  |                 |
|-----------------------|----------|---|----|-----|------------------|-----------------|
| COMPONENT             | DEFE     | ст  | No | Yes | lf Yes,<br>Score | Safety<br>issue |
| 1. Label              | 1.1      | Missing                                   |    |     |                  |                 |
|                       | 1.2      | Poorly attached                           |    |     |                  |                 |
|                       | 1.3      | Information is missing                    |    |     |                  |                 |
|                       | 1.4      | Incorrect spelling                        |    |     |                  |                 |
| 2. Backsheet          | 2.1      | Delamination                              |    |     |                  |                 |
| 3. Junction Box       | 3.1      | Faulty electrical connection              |    |     |                  |                 |
|                       | 3.2      | Cracks/breaks/gaps in housing             |    |     |                  |                 |
|                       | 3.3      | Sealant failure                           |    |     |                  |                 |
|                       | 3.4      | Electrical polarity not indicated         |    |     |                  |                 |
| 4. Wiring             | 4.1      | Wire(s) missing or poorly attached        |    |     |                  |                 |
|                       | 4.2      | Too short and/or too thin                 |    |     |                  |                 |
| 5. Frame              | 5.1      | Damaged                                   |    |     |                  |                 |
|                       | 5.2      | Adhesive/sealant failure                  |    |     |                  |                 |
| 6. Front Glass        | 6.1      | Cracking                                  |    |     |                  |                 |
|                       | 6.2      | Scratches                                 |    |     |                  |                 |
| 7. Encapsulation      | 7.1      | Delamination                              |    |     |                  |                 |
| 8. Cells              | 8.1      | Fake                                      |    |     |                  |                 |
|                       | 8.2      | Dummy pieces disguising missing material  |    |     |                  |                 |
|                       | 8.3      | Cracks                                    |    |     |                  |                 |
|                       | 8.4      | Partially covered                         |    |     |                  |                 |
|                       | 8.5      | Scratches                                 |    |     |                  |                 |
|                       | 8.6      | Differently sized                         |    |     |                  |                 |
|                       | 8.7      | Edge chips                                |    |     |                  |                 |
|                       | 8.8      | All cells very shiny                      |    |     |                  |                 |
| 9. Cell               | 9.1      | Fingers not connected to busbar           |    |     |                  |                 |
| Metallization         | 9.2      | Not the same pattern on all cells         |    |     |                  |                 |
|                       | 9.3      | Fingers off of edge of corner of cells    |    |     |                  |                 |
| 10. Cell              | 10.1     | Interconnection is discontinuous          |    |     |                  |                 |
| Interconnection       | 10.2     | Cells connected in parallel (counterfeit) |    |     |                  |                 |
|                       | 10.3     | Poorly aligned and/or soldered            |    |     |                  |                 |
|                       | 10.4     | Cells connected in parallel (real cells)  |    |     |                  |                 |
| Defects are prese     | nt sugge | esting module is used rather than new     |    |     |                  |                 |

ACCEPT: REJECT:



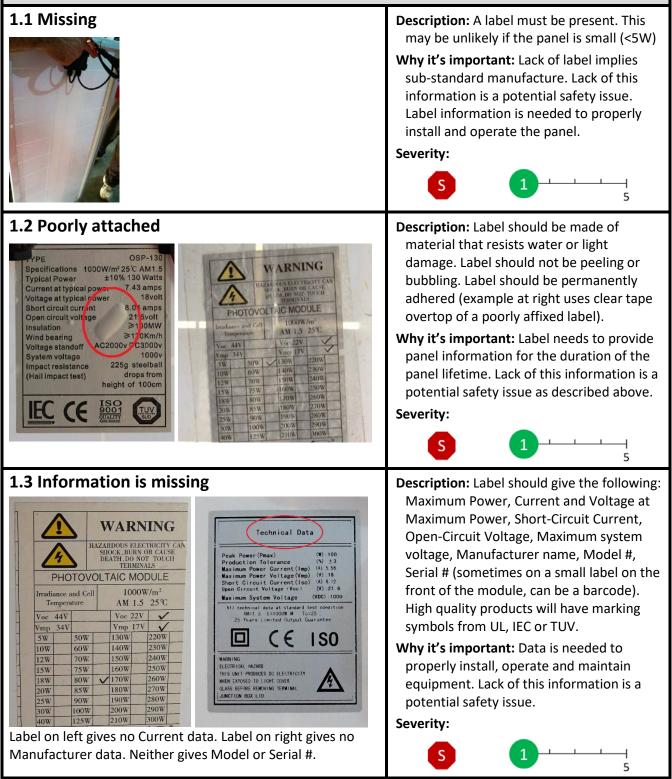
| CHECKLIST: Used Module  |                          |                                   | Defect Present? |     |                  |                  |
|---|--------------------------|-----------------------------------|-----------------|-----|------------------|------------------|
| COMPONENT   | DEFECT                   |                                   |                 | Yes | lf Yes,<br>Score | Safety<br>issue? |
| 1. Label  |                          | See New Module Checklist          |                 |     |                  |                  |
| 2. Backsheet  | 2.2                      | Burn marks                        |                 |     |                  |                  |
|   | 2.3                      | Discolouration                    |                 |     |                  |                  |
| 3. Junction Box   | See New Module Checklist |                                   |                 |     |                  |                  |
| 4. Wiring   | 4.3                      | Cracks or exposed metal           |                 |     |                  |                  |
| 5. Frame  | See New Module Checklist |                                   |                 |     |                  |                  |
| 6. Front Glass  | See New Module Checklist |                                   |                 |     |                  |                  |
| 7. Encapsulation  | 7.2                      | Discolouration                    |                 |     |                  |                  |
| 8. Cells  | 8.9                      | "Snail trails"                    |                 |     |                  |                  |
|   | 8.10                     | Shiny locally/inconsistent colour |                 |     |                  |                  |
| 9. Cell Metallization   | See New Module Checklist |                                   |                 |     |                  |                  |
| 10. Cell  | See New Medule Checklict |                                   |                 |     |                  |                  |
| Interconnection   | See New Module Checklist |                                   |                 |     |                  |                  |
| SUMMARY Indicate if any defects and safety issues are present and sum score |                          |                                   |                 |     |                  |                  |



## **CATALOGUE OF DEFECTS: New Modules**

### 1. LABEL

Provides important product information. Adhered to the rear of a module by the module manufacturer.



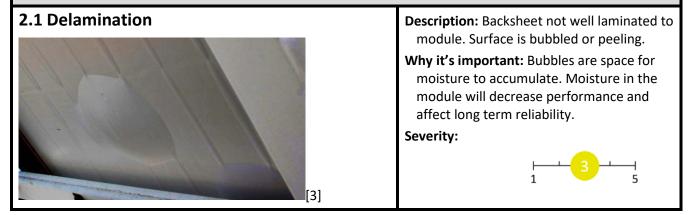


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| 1.4 Incorrect spelling   |                                   |   | Description: Words should be spelt correctly |   |  |  |
|--|-----------------------------------|---|--|---|--|--|
| Maximum Power (Pm)   | 50W ±3%                           |   |  | in whatever language is used  |  |  |
| Voltage at Pm (Vm)<br>Current at Pm (Im)<br>Open Circuit Voltage (Voc)           | 17.5V<br>2.86A<br>21.5V           |   |  | Why it's important: Does not affect performance, reliability or safety, but is an |  |  |
| Short Ĉircuit Current(Isc).<br>NOCT<br>Maximum system Voltage<br>Wind Pesistance | 3.28A<br>47±3℃<br>1000V<br>5400Pa | Maximun Power:<br>Open Circuit Voltage(Voc):<br>Oper at ine Voltage(Vmp): | 30W<br>21.5V±0.5<br>17.64V±0.5               | indicator of the lack of professionalism of the manufacturer.                     |  |  |
| Serien Fuse<br>Application Class   | 10A<br>ClassA                     | Short Circuit Current(Isc):<br>Oper at ine Current (imp):                 | 1.93A±0.1<br>1.71A±0.1                       | Severity:   |  |  |
| Dimensions<br>Weight<br>All Technical Data at STO                                | 840<br>AM=1.5.TC=:                | Maximum System Voltage:<br>power Tolerance:                               | 750±<br>±3%                                  |   |  |  |

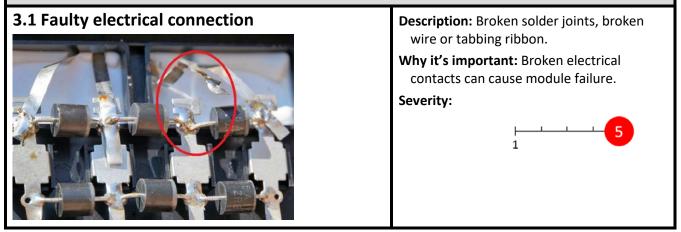
### **2. BACKSHEET**

Back substrate of module. Protects module interior from the elements.



### **3. JUNCTION BOX**

Electrical enclosure on the rear of the module where external wires connect to the internal tabbing ribbon. The junction box also contains the diode(s).

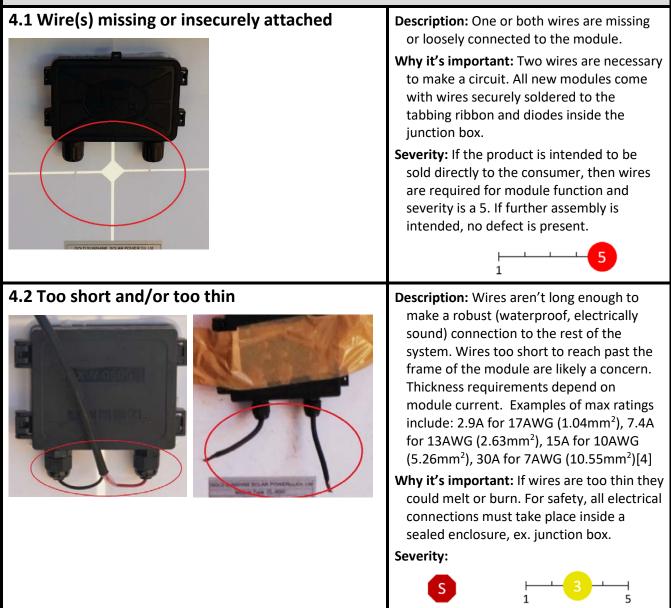


| 3.2 Cracks/breaks/gaps in housing                                | Description: Cracks in the housing, missing a   |  |  |  |
|--|---|--|--|--|
|  | <ul><li>continuous seal for the lid or around the wires. Possibility of water ingress.</li><li>Why it's important: Accumulated moisture can cause short circuits or corrosion of the</li></ul>                          |  |  |  |
|  | metal contacts, increasing the risk of<br>melting or fire. The junction boxes on high<br>quality modules will be permanently<br>sealed to mitigate this risk.   |  |  |  |
|  | Severity:   |  |  |  |
| 3.3 Sealant failure  | <b>Description:</b> Holes in the seal, brittle<br>material (should feel rubbery with<br>fingernail) or adhesion failure. Possibility<br>of water ingress.   |  |  |  |
|  | Why it's important: Accumulated moisture<br>in the junction box can cause short circuits<br>or corrosion of the metal contacts.<br>Corrosion can increase the risk of melting<br>or fire.                               |  |  |  |
|  | <b>Severity:</b> If the sealant is brittle but not yet failed then the severity should be 3. If means of water ingress is visible, the severity should be 4.  |  |  |  |
|  | $\begin{array}{c} \mathbf{S} \\ 1 \end{array} \qquad \begin{array}{c} 1 \\ 1 \end{array} \qquad \begin{array}{c} 4 \\ 5 \end{array}$  |  |  |  |
| <b>3.4 Electrical polarity not indicated</b> Photo not available | <b>Description:</b> Does not include a clear<br>indication of the positive (+ or red) and<br>negative (- or black) terminal of the<br>module. Can be done with colour-coded<br>wires instead of marked on junction box. |  |  |  |
|  | Why it's important: Improper wiring of the module could cause a safety risk or lead to equipment failure.   |  |  |  |
|  | Severity:   |  |  |  |



#### 4. WIRING

The wires carry electricity from the module to the charge controller or inverter.





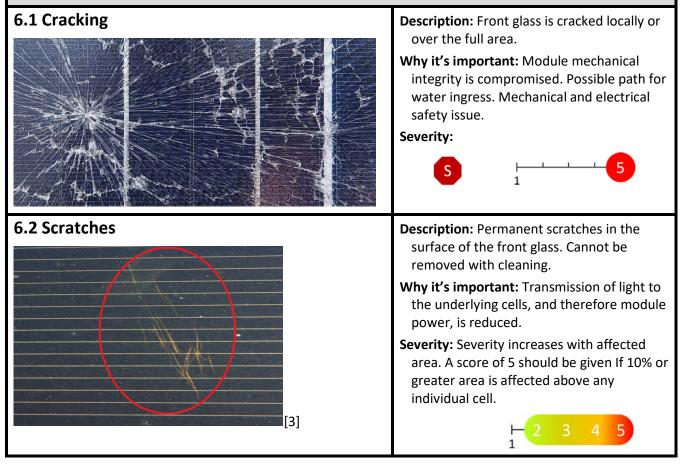
### 5. FRAME

The frame provides structure, rigidity, and mounting features. Sometimes non-metal for small modules (for example <10W). Metal is needed for rigidity for large modules. If metal is used, electrical grounding is required.

| 5.1 Damaged                  | Description: Bent or cracked frame or the  |  |  |  |
|------------------------------|--|--|--|--|
| Photo not available          | <ul> <li>corners are not well aligned.</li> <li>Why it's important: Loss of mechanical integrity, to the extent that the installation and/or operation of the module would be impaired. For example, may not be rigid enough to withstand handling during installation and/or high winds.</li> <li>Severity: Low severity and no safety risk if</li> </ul> |  |  |  |
|                              | dents/cracks in the frame are unlikely to<br>affect mechanical integrity. High severity<br>and safety risk if damage could lead to<br>safety issues from cracked glass, poor<br>electrical grounding, or if installation<br>and/or operation are likely to be impaired.  |  |  |  |
| 5.2 Adhesive/Sealant failure | <b>Description:</b> Discontinuous perimeter seal or loose attachment to module.  |  |  |  |
|                              | Why it's important: The adhesive is also a sealant that prevents water ingress into the module. Water in the module layers will decrease performance and affects long term reliability. Severity depends on atmospheric humidity.  |  |  |  |
| HANNE SANTA                  | Severity:  |  |  |  |

### 6. FRONT GLASS

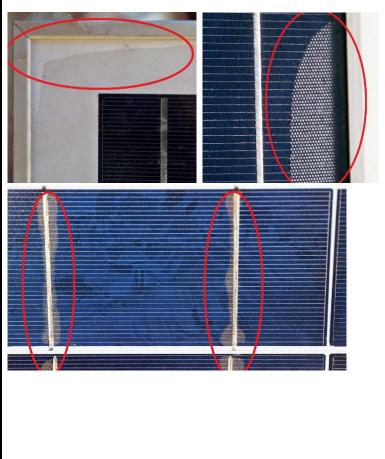
Provides structure to the module and protects the cells. Allows transmission of light to the cells.



### 7. ENCAPSULATION

Used to laminate module layers together. Transparent to allow light to reach cells.

#### 7.1 Delamination

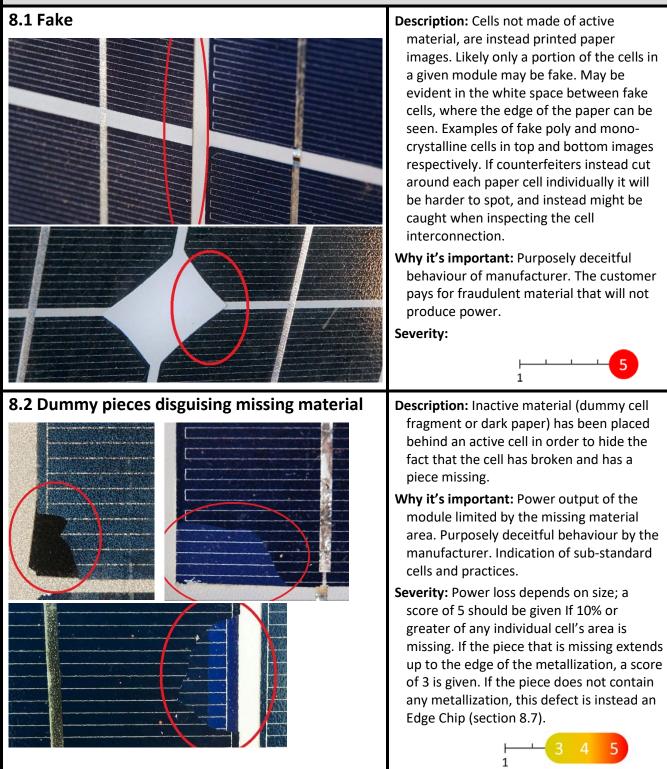


- **Description:** Any local separation of the layers between the front glass and the cells or the front glass and the backsheet. May appear continuous (top left) or spotted (right and bottom, due to texture of glass). Also could be bubbles. Most commonly appears around busbars or at the edge of the panel.
- Why it's important: Can reduce structural integrity of the module. Transmission of light to the underlying cells, and therefore module current, is reduced.
- Severity: Bubbles of delamination forming a continuous path between any part of the electrical circuit and the edge of the module is a safety risk due to possible water ingress. If delamination does not form such a path no safety risk exists. Influence on performance increases with affected area. A score of 5 is given If 10% or greater of any individual cell's area is affected. A barely visible bubble would correspond to a score of 2.

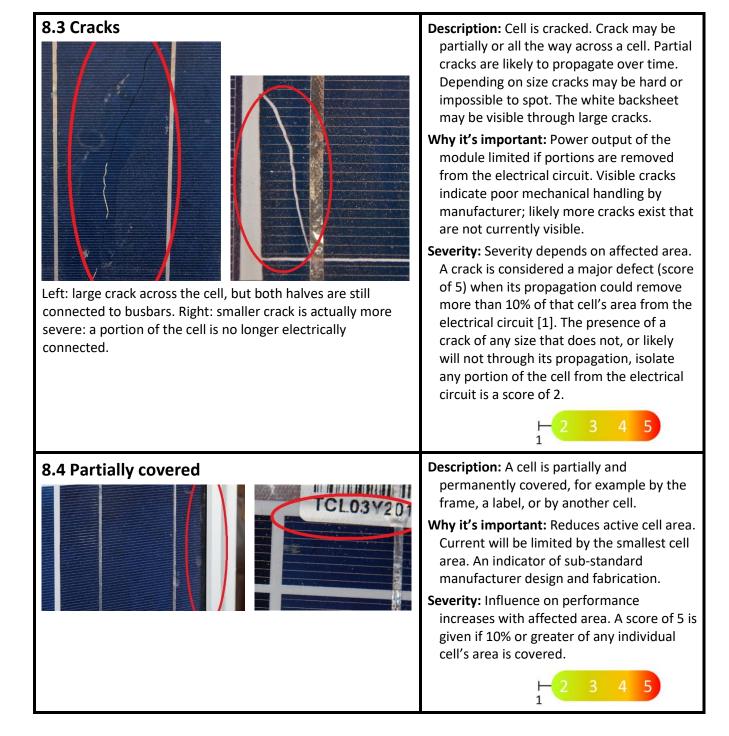


### 8. CELLS

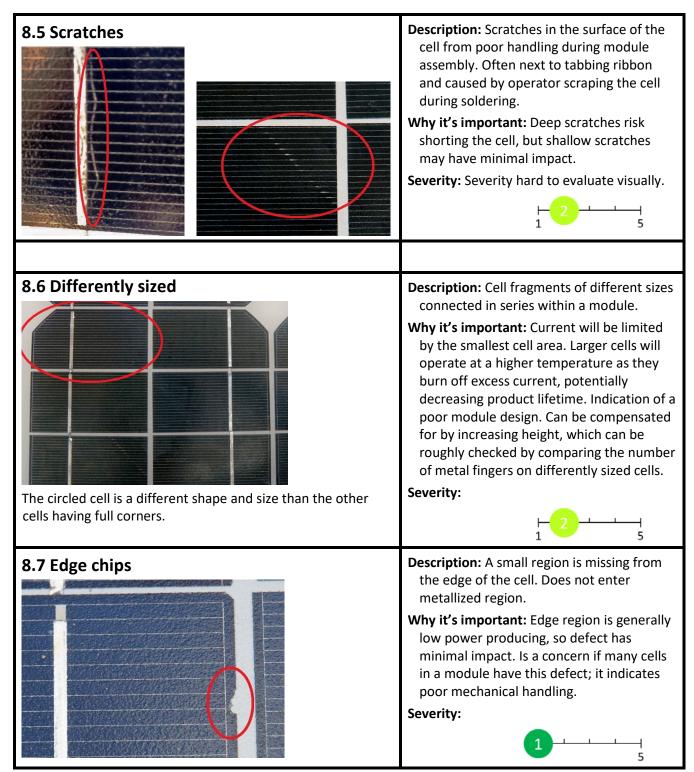
Active component of the solar module. Electricity producing material converts sunlight to electricity.



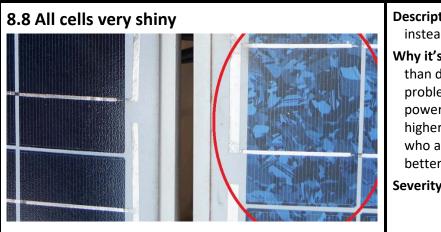
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**Description:** Cells are very shiny, reflecting instead of absorbing light.

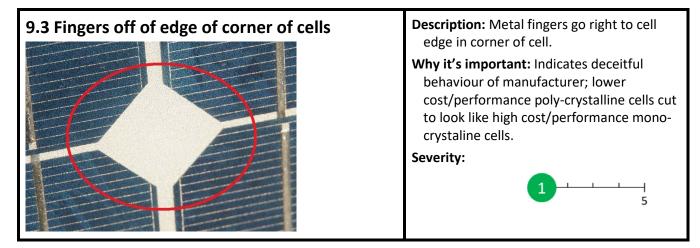
Why it's important: May be less efficient than darker cells, which is not inherently a problem if a module is sold based on rated power. Retailers selling such modules at a higher price to uninformed consumers who associate "shiny" with "new" or better is deceitful practice.

Severity:



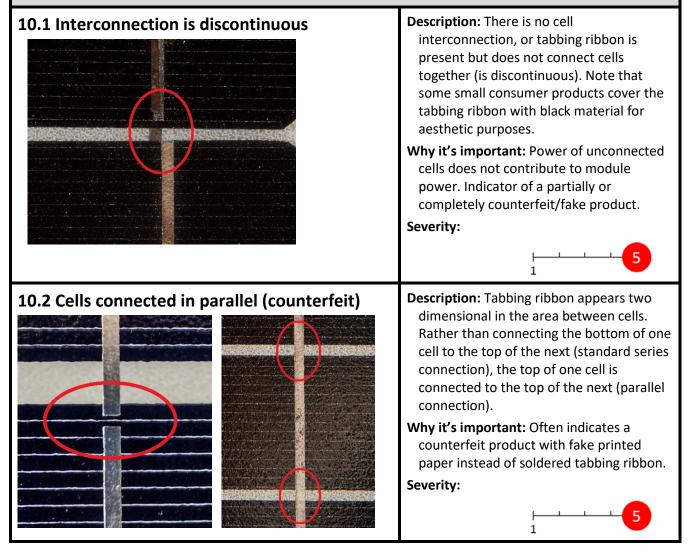
#### 9. CELL METALLIZATION Metal fingers collect and conduct current from the cell to the busbars (covered by tabbing ribbon) Description: Metal fingers are not connected 9.1 Fingers not connected to busbar to the busbars of a cell. Why it's important: Current of unconnected region cannot be used. Severity depends on effected region. In the example here 1/3 of the cell area is effectively unused. Indicates a poor design and a sub-standard manufacturer. Severity: Severity depends on affected area. Considered a major defect when 10% or greater of a cell's area is excluded from the electrical circuit [1]. 1 **Description:** Different metallization patterns 9.2 Not the same pattern on all cells apparent on different cells in the same module. Why it's important: Not inherently an issue if cells have the same performance characteristics. However if mis-matched cells are combined in a module, higher performing cells will be limited by lower performers. Potential indicator of poor manufacturing practices. Severity: 5

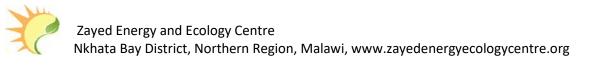


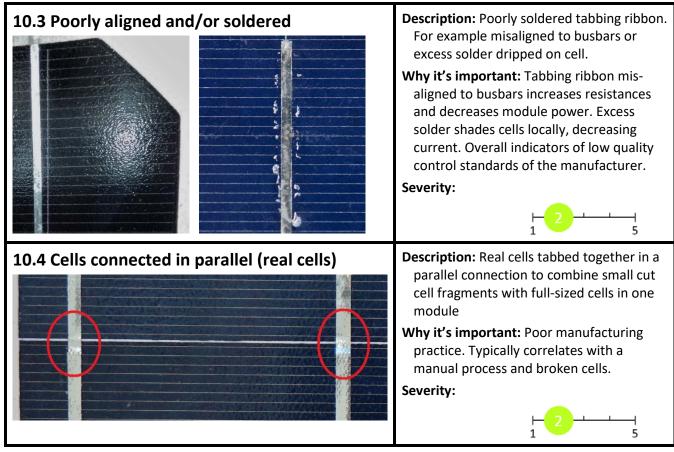


## **10. CELL INTERCONNECTION**

Tabbing ribbon that is soldered to busbars. Connects cells together and conducts current to external circuit.









## **CATALOGUE OF DEFECTS: Used Modules**

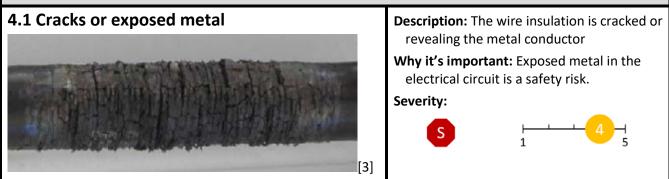
The defects included in this section would not appear on a new module, only a module that has already been used in operation. These defects therefore appear over time as gradually worsening or catastrophic events. This section is included so that if these defects are found at border inspections or at retail locations, it can be immediately identified that the modules are not new and should be rejected.

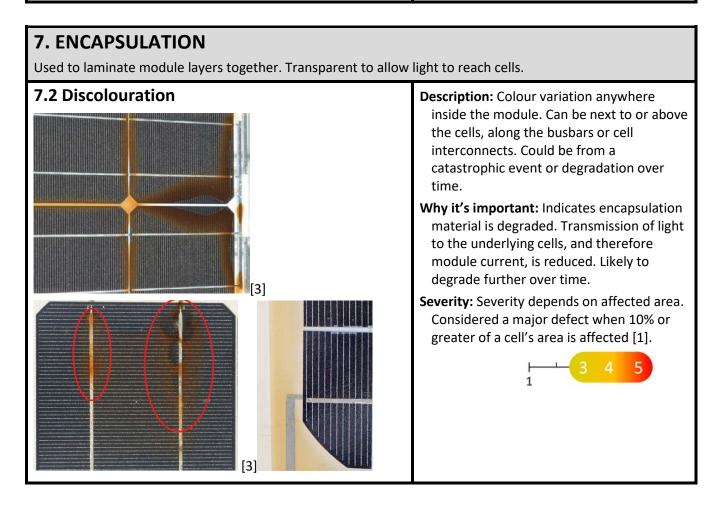
If the intended use is specifically to inspect used modules, for example to evaluate PV arrays after a given time of operation, other resources would likely be more suited and complete. Please see for example "Development of a Visual Inspection Data Collection Tool for Evaluation of Fielded PV Module Condition" [3], which is specifically intended for this purpose and is available online. Note that all used modules can also have all defects that new modules have, but the converse is not true. Therefore when evaluating used modules, both "new" and "used" module checklists should be employed.

| 2. BACKSHEET  |   |  |  |  |
|---|---|--|--|--|
| Back substrate of module. Protects module interior from the elements. |   |  |  |  |
| 2.1 Burn marks  | <ul> <li>Description: Burnt, blackened area. Damage cannot be cleaned off. There may be a hole in the backsheet.</li> <li>Why it's important: Indicates a catastrophic failure event occurred. Performance, reliability and safety are likely to be severely compromised.</li> <li>Severity:         <ul> <li>Image: Severity:</li> <li>Image: Severity:</li> <li>Image: Severity:</li> <li>Image: Severity:</li> <li>Image: Severity:</li> <li>Image: Severity:</li> </ul> </li> </ul> |  |  |  |
| 2.2 Discolouration  | Description: Colour varies across the backsheet, and cannot be cleaned off Why it's important: Backsheet material is likely degraded. This indicates that the module is suffering from a reliability problem. Severity:   |  |  |  |

### 4. WIRING

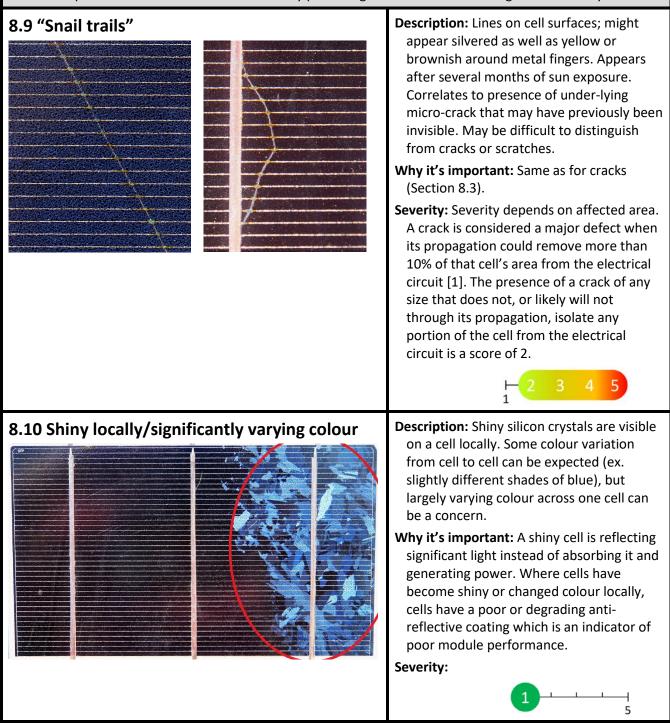
The wires carry electricity from the module to the charge controller or inverter.

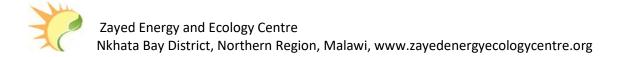




## 8. CELLS

Active component of the solar module. Electricity producing material converts sunlight to electricity.





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